

Neural Wavefunction

Variational Monte Carlo (VMC) Method
(with quantum mechanical research topics for ML)

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Pohang University of Science and Technology (POSTECH)

Neural Wavefunction

PRR '20

PHYSICAL REVIEW RESEARCH 2, 033429 (2020)

Ab initio solution of the many-electron Schrödinger equation with deep neural networks

David Pfau,^{*,†} James S. Spencer,^{*} and Alexander G. D. G. Matthews
DeepMind, 6 Pancras Square, London N1C 4AG, United Kingdom

ICLR '22

AB-INITIO POTENTIAL ENERGY SURFACES BY
PAIRING GNNs WITH NEURAL WAVE FUNCTIONS

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ICLR '23

SAMPLING-FREE INFERENCE FOR AB-INITIO
POTENTIAL ENERGY SURFACE NETWORKS

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ICML '23

Generalizing Neural Wave Functions

Nicholas Gao¹ Stephan Günnemann¹

ICLR '24 Workshop

ON REPRESENTING ELECTRONIC WAVE FUNCTIONS
WITH SIGN EQUIVARIANT NEURAL NETWORKS

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NIPS '24 (ORAL)

Neural Pfaffians: Solving Many Many-Electron
Schrödinger Equations

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Neural Wavefunction

- *Neural wavefunction* is one of the task of *AI for Science*
- *Wavefunction* is the solution of the *Schrödinger equation*
- Finding the wavefunction of the without the ground truth (**unsupervised**)
- Achieving the *quantum precision* **chemical property** of molecule

Quantum precision: Similar with quantum mechanical method (ex. DFT) - about 1kcal/mol (on energy)

Why should we know wavefunction?

- To calculate the quantum **chemical property**
 - Electrostatics potentials, Electro density, HOMO, LUMO, ΔE_{gap} and etc.

Ex. SchNet, EGNN

- *Classical GNN* method learned these from the **supervised learning**
 - Cannot make “*quantum level accuracy*” due to regression error
 - Surrogate models are subject to the training domain
 - Re-train the model for each chemical property

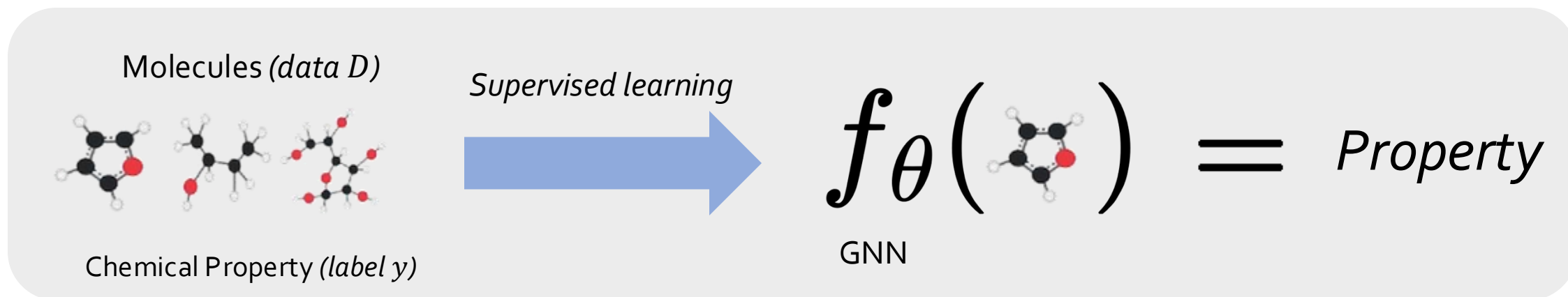


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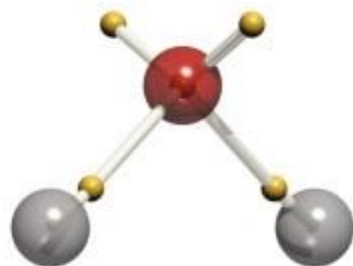
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Neural Wavefunction

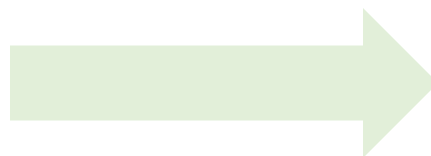
- Neural wavefunction tries to model the wavefunction directly
 - Not using the supervised learning, but **variational Monte Carlo (VMC)** framework
 - Direct optimize network to model ground truth wavefunction

Molecule Configuration
(Pos X, Atomic num Z)



No label
(No property given)

VMC + Gradient descent



Wavefunction of molecule



Wavefunction

- Wavefunction can be used to **measure the chemical property** in principle

Functional of wavefunction

$$\underset{\substack{\text{Predefined} \\ \text{Operator}}}{F} \left[\text{Wavefunction} \right] = \text{Property}$$

- Quantum property calculated in this way:
 - First, calculate wavefunction from the configuration
 - Second, calculate property from functional of wavefunction
- These methodology is called *ab initio*
 - Do not use experimental data or observation, derivate from the principles and laws

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Neural wavefunction deal with this step

- Do not use experimental data or observation, derive from the principles and laws

Wavefunction

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Functional of wavefunction

$$\underset{\substack{\text{Predefined} \\ \text{Operator}}}{F} \left[\text{Wavefunction} \right] = \text{Property}$$

- Quantum property** calculated in this way:
 - First, calculate wavefunction from the configuration
 - Second, calculate property from functional of wavefunction
- These methodology is called ***ab initio*** (*derived by first principle*)
 - Do not use ***experimental*** data or observation, derivate from the ***principles*** and ***laws***

Wavefunction

- Solving **Many-Electron Stationary** Schrödinger Equation

$$\mathbf{H}\psi = E\psi$$

\mathbf{H} : Hamiltonian (operator)

ψ : (Electronic) wave function

E : Energy of the system

- It is one of the *eigenvalue and eigenstate problem*
- Objective is finding the ***ground-state*** eigenstate ψ_0

Ground-state: State with the smallest eigenvalue

Wavefunction

- Solving **Many-Electron Stationary** Schrödinger Equation

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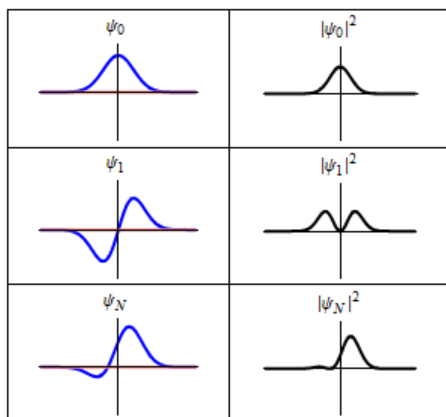
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- Glossary

Stationary vs. Non-stationary



Wavefunction

- Solving **Many-Electron Stationary** Schrödinger Equation

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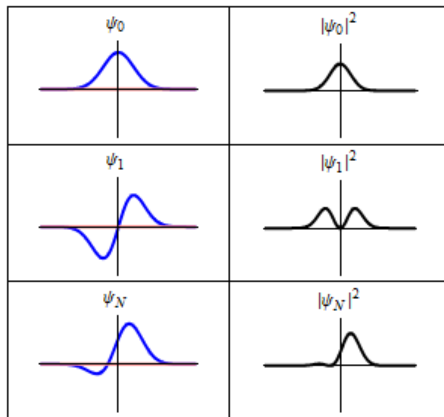
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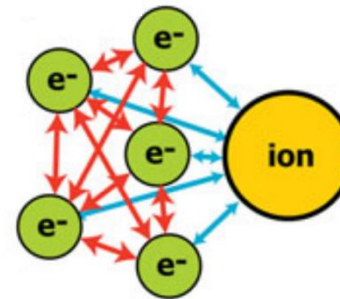
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Stationary vs. Non-stationary

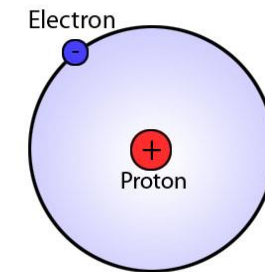


Many-electron



Most cases
(Many atoms)

Single Electron



Restricted cases
(Hydrogen H)

Wavefunction

- Solving **Many-Electron Stationary** Schrödinger Equation

$$\mathbf{H}\psi = E\psi$$

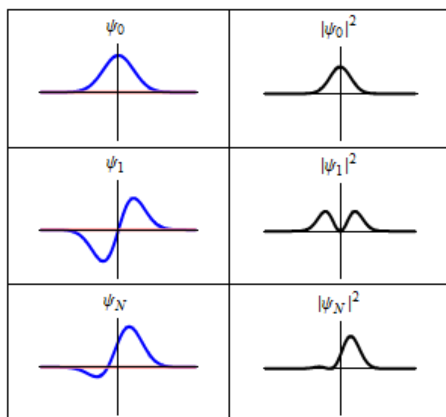
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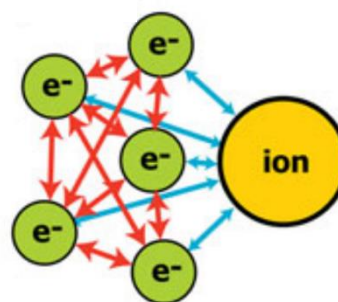
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- Glossary

Stationary vs. Non-stationary



Many-electron



Most cases

Impossible to
solve analytically

Wavefunction

- Solving **Many-Electron Stationary** Schrödinger Equation

$$\mathbf{H}\psi = E\psi$$

\mathbf{H} : Hamiltonian (operator)

ψ : (Electronic) wave function

E : Energy of the system

- To solve this intractable problem, need some ***approximation***

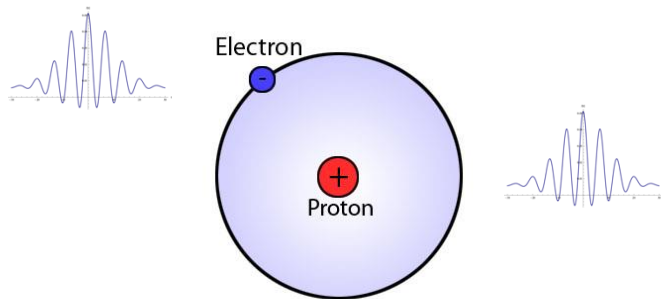
Wavefunction

- **Born-Oppenheimer (BO) approximation**

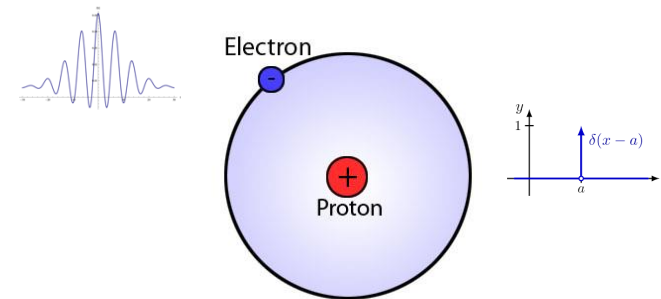
1. Fixing the nuclei position (= nuclei is deterministic and has no kinetic energy)
2. Assuming **electronic** wave function is independent each other

$$\text{Ex. } \psi(r_0, r_1) = \psi_0(r_0)\psi_1(r_1)$$

W/O BO



With BO



Wavefunction

- Solving **Many-Electron Stationary** Schrödinger Equation

$$\mathbf{H}\psi = E\psi$$

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- With BO approximation, Hamiltonian is given by

$$\mathbf{H} = -\frac{1}{2} \sum_{i=1}^{N_e} \sum_{k=1}^3 \frac{\partial^2}{\partial \vec{r}_{ik}^2} + \sum_{j>i}^{N_e} \frac{1}{\|\vec{r}_i - \vec{r}_j\|} - \sum_{i=1}^{N_e} \sum_{m=1}^{N_n} \frac{Z_m}{\|\vec{r}_i - \vec{R}_m\|} + \sum_{n>m}^{N_n} \frac{Z_m Z_n}{\|\vec{R}_m - \vec{R}_n\|}$$

Kinetic energy

Elec-Elec pot

Elec-Nucl pot

Nucl-Nucl pot

Wavefunction

• Solv

Nonrelativistic Schrödinger equation

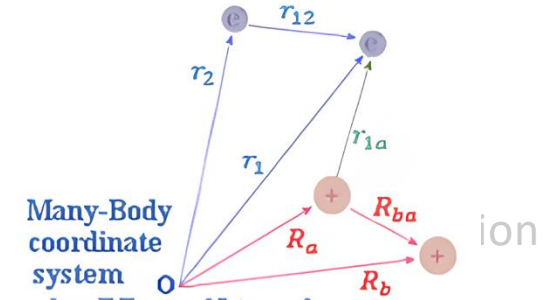
$$\hat{H}\Psi(\{\underset{\text{e}}{\mathbf{r}_i}\}, \{\underset{+}{\mathbf{R}_I}\}) = E\Psi(\{\underset{\text{e}}{\mathbf{r}_i}\}, \{\underset{+}{\mathbf{R}_I}\})$$

Function of 3N coordinates of Nucleus and Electrons

nuclear Hamiltonian

electron-nuclear Hamiltonian

electronic Hamiltonian



• Wit

$$\hat{H} = \underbrace{\sum_{a=1}^M \frac{-\hbar^2}{2M_a} \nabla_a^2}_{\text{Kinetic energy of nuclei } T_N(R)} + \underbrace{\sum_{a=1}^{M-1} \sum_{b=a+1}^M \frac{Z_a Z_b e^2}{R_{ab}}}_{\text{Repulsion of nuclei } V_{NN}(R)} - \underbrace{\sum_{a=1}^M \sum_{i=1}^N \frac{Z_a e^2}{r_{ai}}}_{\text{Attraction between nuclei and electrons } V_{eN}(r, R)} + \underbrace{\sum_{i=1}^N \frac{-\hbar^2}{2M_e} \nabla_i^2}_{\text{Kinetic energy of electrons } T_e(r)} + \underbrace{\sum_{i=1}^{N-1} \sum_{j=i+1}^N \frac{e^2}{r_{ij}}}_{\text{Repulsion of electrons } V_{ee}(r)}$$

Kinetic energy of nuclei $T_N(R)$ Repulsion of nuclei $V_{NN}(R)$

Attraction between nuclei and electrons

$V_{eN}(r, R)$
 $r_{ai} = |\mathbf{R}_a - \mathbf{r}_i|$

Kinetic energy of electrons $T_e(r)$

Repulsion of electrons $V_{ee}(r)$

Recall,

$$\nabla^2 \phi = \frac{\partial^2 \phi}{\partial x^2} + \frac{\partial^2 \phi}{\partial y^2} + \frac{\partial^2 \phi}{\partial z^2}$$

$V_N(R)$
external potential on nuclei

$V_e(r)$
external potential on electrons

Wavefunction

- Solving **Many-Electron Stationary** Schrödinger Equation

$$\mathbf{H}\psi = E\psi$$

\mathbf{H} : Hamiltonian (operator)

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- With **two constraints**,
 - **Fermi-Dirac statistics (*Antisymmetric*)**

Two atoms: $\psi(r_1, r_2) = -\psi(r_2, r_1)$, General: $\psi(\mathbf{r}) = -\text{sign}(\pi)\psi(\pi(\mathbf{r}))$

- **Squared integral normalized**

$$\int \psi^2(\mathbf{r}) d\mathbf{r} = 1$$

Problem objective

- Given Hamiltonian \mathbf{H} , finding the lowest energy E_0 and state ψ_0
- To solve it, we **parametrize** the wave function ψ_θ
- Make the parametrize the wave function to be ground state ψ_0

$$\mathbf{H}\psi_\theta \approx \mathbf{H}\psi_0$$

- Then the eigenvalue of the ψ_θ becomes the E_0

How can we do it in the unsupervised way?

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How can we do it in the unsupervised way?

Variational Monte Carlo (VMC)

$$SE: \mathbf{H}\psi = E\psi$$

- **Ground state energy** can be calculated by the *inner product* over SE :

$$\frac{\langle \psi_0 | \mathbf{H} | \psi_0 \rangle}{\langle \psi_0 | \psi_0 \rangle} = \frac{\langle \psi_0 | E_0 | \psi_0 \rangle}{\langle \psi_0 | \psi_0 \rangle} = E_0$$

- It is the same with below expression:

$$E = \frac{\int \psi(\mathbf{r}) \mathbf{H} \psi(\mathbf{r}) d\mathbf{r}}{\int \psi(\mathbf{r})^2 d\mathbf{r}}$$

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- Q: Is it valid for any wave-function (ex. ψ_θ)?
 - A: Actually, it is hard to guarantee since ψ_θ may not be the eigen state of \mathbf{H}

$$\mathbf{H}\psi_\theta \neq E\psi_\theta$$

Variational Monte Carlo (VMC)

$$SE: \mathbf{H}\psi = E\psi$$

- Assuming *trial energy* E_θ is defined by the

$$E_\theta = \frac{\int \psi_\theta(\mathbf{r}) \mathbf{H} \psi_\theta(\mathbf{r}) d\mathbf{r}}{\int \psi_\theta(\mathbf{r})^2 d\mathbf{r}}$$

- This is solved by the *variational principle*
 - States that the trial energy of any trial wavefunction ψ_θ upper bounds E_0

$$E_0 \leq E_\theta = \frac{\int \psi_\theta(\mathbf{r}) \mathbf{H} \psi_\theta(\mathbf{r}) d\mathbf{r}}{\int \psi_\theta(\mathbf{r})^2 d\mathbf{r}}$$

Variational Monte Carlo (VMC)

- Simply calculate the gradient of trial energy E_θ is enough to find E_0
- To find $\nabla_\theta E_\theta$, define **local energy** $E_L(\mathbf{r})$ and **probability density** $p(\mathbf{r})$

$$E_L(\mathbf{r}) = \frac{\mathbf{H}\psi(\mathbf{r})}{\psi(\mathbf{r})} \qquad p(\mathbf{r}) = \frac{\psi^2(\mathbf{r})}{\int \psi^2(\mathbf{r}) d\mathbf{r}}$$

- Then, we have

$$E_\theta = \int p(\mathbf{r}) E_L(\mathbf{r}) d\mathbf{r} = \mathbb{E}_{p(\mathbf{r})}[E_L(\mathbf{r})]$$

$$\nabla_\theta E_\theta = \mathbb{E}_{p(\mathbf{r})} \left[\left(E_L(\mathbf{r}) - \mathbb{E}_{p(\mathbf{r})}[E_L(\mathbf{r})] \right) \nabla_\theta \log \psi_\theta(\mathbf{r}) \right]$$

Variational Monte Carlo (VMC)

- This is called Monte Carlo

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, since the gradient calculation needs to sample over $p(\mathbf{r})$

- In addition, the true ground energy E_0 and state ψ_0 is hard to get
 - Criterion for good wave function is the lowest energy E_θ over baseline

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Three types of the Research direction

- **Antisymmetric** architecture/representation

- Neural Pfaffians: Solving Many Many-Electron Schrödinger Equations ('24 NIPS)
- On Representing Electronic Wave Functions with Sign Equivariant Neural Networks ('24 ICLRw)
- Ab-Initio Potential Energy Surfaces by Pairing GNNs with Neural Wave Functions ('21 ICLR)

- **Transferrable** architecture / parameterization over molecules

- Generalizing Neural Wave Functions ('23 ICML)
- Solving the electronic Schrödinger equation for multiple nuclear geometries with weight-sharing deep neural networks ('22 Nat. Comp)
- Variational Monte Carlo on a Budget –Fine-tuning pre-trained Neural Wavefunctions ('23NIPS)

- **Tackling the VMC** or sampling process (Optimization?, Training?)

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FermiNet ('22 PRR)

- One of the baseline from the physics community + (DeepMind)
 - Didn't use the GNN
- Using Slater determinant for **antisymmetric Ansatz**

Ansatz: guess or assumption of a mathematical expression or function of physical model

Slater determinant

- Antisymmetry is the one of the property of the determinant operation

$$\begin{aligned}\Psi(\mathbf{x}_1, \mathbf{x}_2) &= \frac{1}{\sqrt{2}} \{ \psi_1(\mathbf{x}_1) \psi_2(\mathbf{x}_2) - \psi_1(\mathbf{x}_2) \psi_2(\mathbf{x}_1) \} \\ &= \frac{1}{\sqrt{2}} \begin{vmatrix} \psi_1(\mathbf{x}_1) & \psi_2(\mathbf{x}_1) \\ \psi_1(\mathbf{x}_2) & \psi_2(\mathbf{x}_2) \end{vmatrix}\end{aligned}$$

- Swapping, x_1 and x_2 implying row change
- Naturally, Ψ is antisymmetric

$$\Psi(\mathbf{x}_1, \mathbf{x}_2) = -\Psi(\mathbf{x}_2, \mathbf{x}_1)$$

Slater determinant

Orbital: single particle (or electron) wave function

- Slater determinant based on **Hartree-Fock** (or mean-field) **approximation**
 - (Hartree Product)** a multi-electron wave function as a simple product of one-electron wave functions (orbitals).
Ex. $\Psi(\mathbf{x}_1, \mathbf{x}_2) = \chi_1(\mathbf{x}_1)\chi_2(\mathbf{x}_2)$
- For each Slater determinant is

Electron position index x_i

$$\det[\Phi^k] = \begin{vmatrix} \phi_1(\mathbf{x}_1) & \dots & \phi_1(\mathbf{x}_n) \\ \vdots & & \vdots \\ \phi_n(\mathbf{x}_1) & \dots & \phi_n(\mathbf{x}_n) \end{vmatrix}$$

$\phi_i \in k$
Orbital position index ϕ_i

($k \in K$),

- K is the possible subset of the orbitals having n numbers of the electrons

Slater determinant

$$\det[\Phi^k] = \begin{vmatrix} \phi_1(\mathbf{x}_1) & \dots & \phi_1(\mathbf{x}_n) \\ \vdots & & \vdots \\ \phi_n(\mathbf{x}_1) & \dots & \phi_n(\mathbf{x}_n) \end{vmatrix}$$

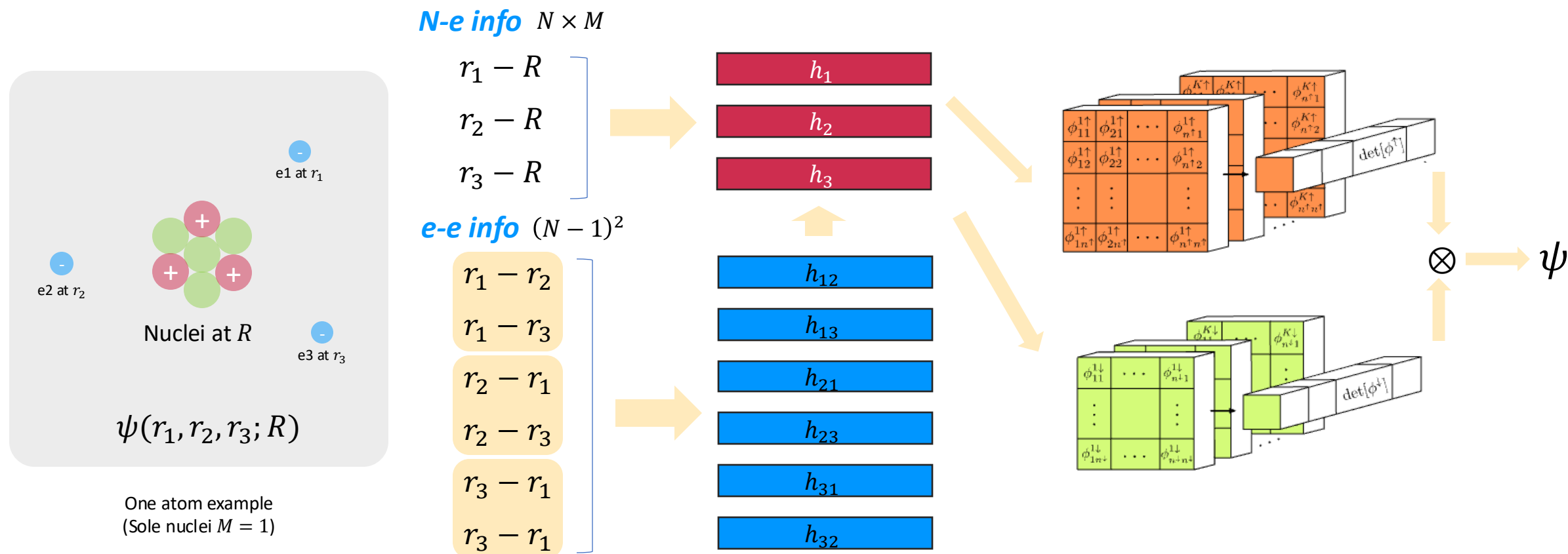
- ***Antisymmetrized ansatz*** of the wave function is weighted sum of the possible slater determinant:

$$\psi(\mathbf{x}_1, \dots, \mathbf{x}_n) = \sum_k \omega_k \det[\Phi^k]$$

- Limitation: exponential complexity along the system size n

FermiNet ('22 PRR)

- Encoding all possible N-e & e-e interactions and make orbital matrix
 - Make slater determinant from orbital matrix



FermiNet ('22 PRR)

- Using **slater determinant** to anti-symmetry
- Define arbitrary orbital that decay to 0 infinitely far away from any nuclei

$$\phi_{ij}^k = (\mathbf{w}_i \mathbf{h}_j + b_i^k) \sum_m^M \pi_{im}^k \exp \left(-\sigma_{im}^k \left\| \vec{\mathbf{r}}_j - \vec{\mathbf{R}}_m \right\| \right)$$

$$\pi_{im}^k = \text{Sigmoid} (p_{im}^k), \quad \sigma_{im}^k = \text{Softplus} (s_{im}^k)$$

M, m	Nucleus num/idx
K, k	Orbital num/idx
p, s	Free parameter
i, j	Electron idx

- Q) Why not using spherical harmonics ?
 - Using spherical harmonics as orbital makes results trivial

$$\text{Ex. } \psi(\vec{\mathbf{r}}R) = \psi(\vec{\mathbf{r}}) = c$$

FermiNet ('22 PRR)

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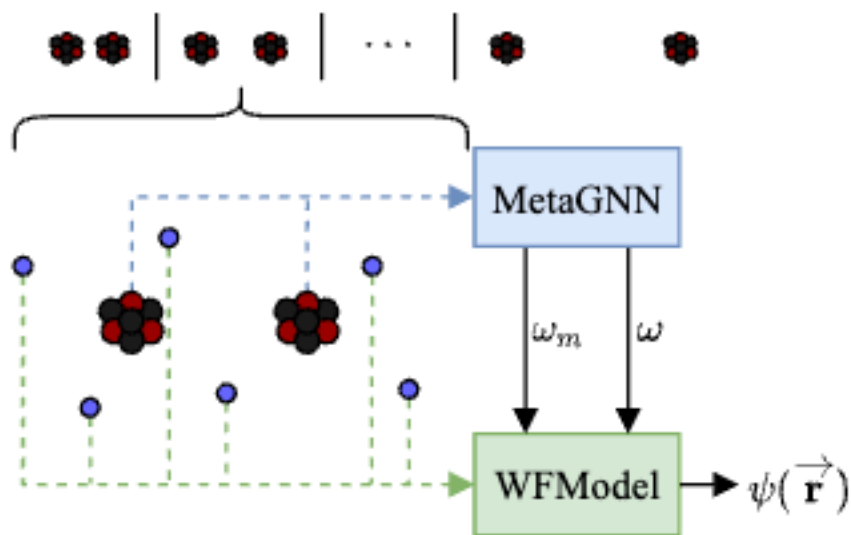
FermiNet ('22 PRR)

- Limitation
 - Computational demands due to all electron interaction encoding
 - Network can be trained and optimized on a single system. (single atom configuration)

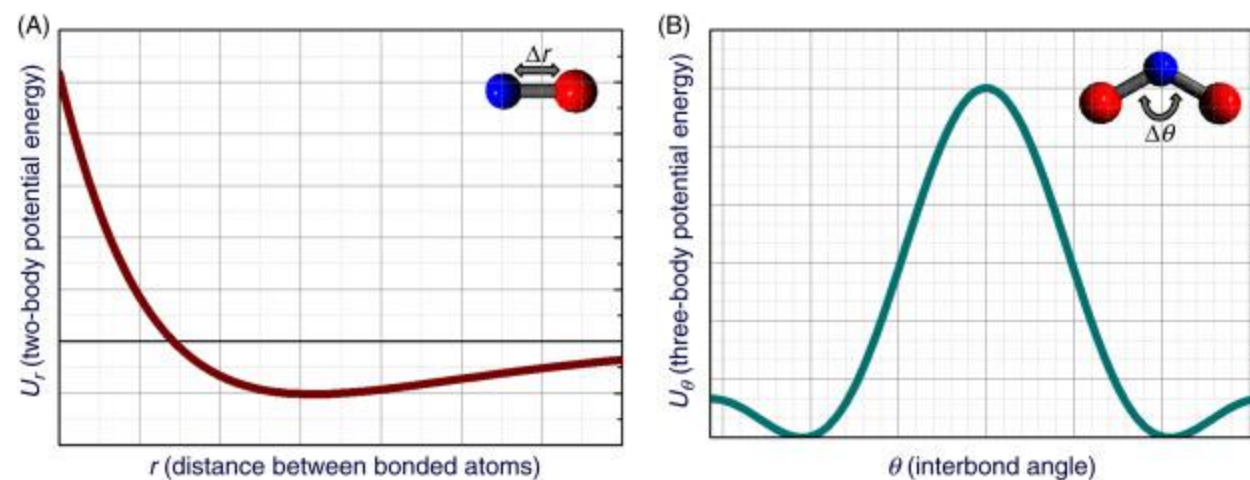
PESNet ('22 ICLR)

Geometry: Same chemical form, different position

- To handle the multiple geometry, using Meta learning
- *Potential energy surface* (PES) is



PES architecture



Example of the PES

- Using **slater determinant** to anti-symmetry / Using GNN

PESNet ('22 ICLR)

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- Define arbitrary orbital that decay to 0 infinitely far away from any nuclei

$$\phi_{ij}^k = (\mathbf{w}_i \mathbf{h}_j + b_i^k) \sum_m^M \pi_{im}^k \exp \left(-\sigma_{im}^k \left\| \vec{\mathbf{r}}_j - \vec{\mathbf{R}}_m \right\| \right)$$

$$\pi_{im}^k = \text{Sigmoid} (p_{im}^k), \quad \sigma_{im}^k = \text{Softplus} (s_{im}^k)$$

M, m	Nucleus num/idx
K, k	Orbital num/idx
p, s	Free parameter
i, j	Electron idx

- If we use GNN, why not use spherical harmonics ?
 - Ans) Using spherical harmonics as orbital makes **results trivial**

$$\text{Ex. } \psi(\vec{\mathbf{r}}R) = \psi(\vec{\mathbf{r}}) = c$$

PESNet ('22 ICLR)

- Limitation
 - Relaxed to the PES, but **not transferable to another molecule**

(Globe/Moon)('23 ICML)

- Motivation
 - Generalization across **different molecules** & different geometries
- Idea
 - Still using Meta-learning Framework, but try to **estimate more parameters**
 - Define the interactions more rigorously and try to expand functional space
 - Ex. Not just considering E-E, but N-E, N-O each other
 - Define **size-consistency** and propose training for size-consistency
 - Ex. The energy of the molecular is very differed by the size of

NeurPf ('24 NIPS)

- More expressive way to build **antisymmetric function**
- The ***Pfaffian*** operator is defined on skew-symmetric $2n \times 2n$ matrix

$$\text{Pf}(A) = \frac{1}{2^n n!} \sum_{\tau \in S_{2n}} \text{sgn}(\tau) \prod_{i=1}^n A_{\tau(2i-1), \tau(2i)}$$

where S_{2n} is the symmetric group of $2n$ element

- Example:

$$\text{pf} \begin{bmatrix} 0 & a & b & c \\ -a & 0 & d & e \\ -b & -d & 0 & f \\ -c & -e & -f & 0 \end{bmatrix} = af - be + dc.$$

NeurPf ('24 NIPS)

- The *Pffafian* has two property

$$\text{Pf}(A)^2 = \det(A)^2$$

and

$$\text{Pf}(BAB^\top) = \det(B) \text{Pf}(A) \quad B \text{ is invertible}$$

- For permutation equivariant function $A(\tau(\mathbf{r})) = P_\tau A(\mathbf{r}) P_\tau^\top$

$$\begin{aligned} \Psi(\tau(\mathbf{r})) &= \text{Pf}(A(\tau(\mathbf{r}))) = \text{Pf}(P_\tau A(\mathbf{r}) P_\tau^\top) \\ &= \det(P_\tau) \text{Pf}(A(\mathbf{r})) \\ &= \text{sign}(\tau) \Psi(\mathbf{r}) \end{aligned}$$

NeurPf ('24 NIPS)

- Construct wave function with Pfaffian

$$\Psi_{\text{Pfaffian}}(\mathbf{r}) = \frac{1}{\text{Pf}(A_{\text{Pf}})} \text{Pf} \left(\hat{\Phi}_{\text{Pf}}(\mathbf{r}) A_{\text{Pf}} \hat{\Phi}_{\text{Pf}}(\mathbf{r})^T \right)$$

- It covers more functions than Slater wave functions

$$\Psi_{\text{Pfaffian}}(\vec{\mathbf{r}}) = \sum_{k=1}^{N_k} c_k \Psi_{\text{Pfaffian},k}(\vec{\mathbf{r}}).$$

A Score-Based Model for Neural Wavefunctions (23' rejected)

- Motivation:
 - Can we just model the Wavefunction and energy by the score function?

$$E_L(\mathbf{r}) = \frac{\mathbf{H}\psi(\mathbf{r})}{\psi(\mathbf{r})} = -\frac{1}{2} \sum_i (\nabla_i^2 \log \psi(\mathbf{r}) + (\nabla_i \log \psi(\mathbf{r}))^2) + V(x)$$

- Try to model the score of the gradient'

$$\mathbf{s}(\mathbf{r}) = \nabla_{\mathbf{r}} \log \psi(\mathbf{r})$$

- Then local energy become

$$E_L(\mathbf{r}) = -\frac{1}{2} (\text{tr}(\nabla_{\mathbf{r}} \mathbf{s}(\mathbf{r}) + \|\mathbf{s}(\mathbf{r})\|^2) + V(\mathbf{r}))$$

A Score-Based Model for Neural Wavefunctions (23' rejected)

- Try to model the score of the gradient'

$$s(\mathbf{r}) = \nabla_{\mathbf{r}} \log \psi(\mathbf{r})$$

- Model the $|\psi(\mathbf{r})|^2$ distribution through Langevin dynamics

$$\mathbf{r}_{t+1} = \mathbf{r}_t + \sqrt{\alpha} \epsilon + \alpha s(\mathbf{r}_t)$$

- Limitation

- Score is computed from the FermiNet..
 - One of the rejected reason is it looks like surrogate model of the FermiNet
- Hard to apply operator to wavefunction, i.e., $d\Psi/dx$

Thank you

Diffusion Monte Carlo Method

- Conceptually difficult to me and I still try to understanding...
- For any trial wave function Ψ and exact wave function Ψ_0

$$\Psi(\mathbf{r}, t) = -e^{-(\hat{H}-E_T)t} \Psi(\mathbf{r})$$

- When time t go to infinity, this function proportional to Ψ_0

$$\lim_{t \rightarrow \infty} \Psi(\mathbf{r}, t) = -e^{-(E_0-E_T)t} \Psi_0(\mathbf{r}) \int \Psi_0(\mathbf{r}) \Psi(\mathbf{r}) d\mathbf{r}$$

$$\lim_{t \rightarrow \infty} \Psi(\mathbf{r}, t) \propto \Psi_0(\mathbf{r})$$

Diffusion Monte Carlo Method

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$$\lim_{t \rightarrow \infty} \Psi(\mathbf{r}, t) \propto \Psi_0(\mathbf{r})$$

Diffusion Monte Carlo Method

- We know infinite time evolved wavefunction is

$$\lim_{t \rightarrow \infty} \Psi(\mathbf{r}, t) \propto \Psi_0(\mathbf{r})$$

- However, we only know the time derivative from Schrödinger eq.

$$-\frac{\partial \psi(\mathbf{r}, \tau)}{\partial \tau} = \left[\sum_{i=1}^N -\frac{1}{2} \nabla_i^2 \psi(\mathbf{r}, \tau) \right] + (V(\mathbf{r}) - E_T) \psi(\mathbf{r}, \tau)$$

- We can define the diffusion equation from above relation...
 - It is so-called “diffusion” Monte Carlo Method
 - Skipped the Monte Carlo part

Appendix 1

Objective: minimize the energy expectation value of the wave-function Ansatz:

For convenience, omit θ subscript, e.g, ψ_θ .

$$\mathcal{L}(\theta) = \frac{\langle \psi | \hat{H} | \psi \rangle}{\langle \psi | \psi \rangle} = \frac{\int dX \psi^*(X) \hat{H} \psi(X)}{\int dX \psi^*(X) \psi(X)}$$

Let's define local energy E_L such that

$$E_L(X) = \frac{\hat{H} \psi(X)}{\psi(X)}$$

Then, we can rewrite above equation

$$\mathcal{L}(\theta) = \frac{\int dX \psi^*(X) \psi(X) \left(\frac{\hat{H} \psi(X)}{\psi(X)} \right)}{\int dX \psi^*(X) \psi(X)} = \int dX \left(\frac{\psi^*(X) \psi(X)}{\int dX \psi^*(X) \psi(X)} \right) E_L(X)$$

or, using the notation of the electron density $p(X) = \frac{\psi^2(X)}{\|\psi\|^2}$,

$$\mathcal{L}(\theta) = \int dX p(X) E_L(X) = \mathbb{E}_{p(X)}[E_L(X)]$$

The derivation of $\mathcal{L}(\theta)$ is

$$\nabla_\theta \mathcal{L}(\theta) = \frac{\nabla_\theta \left(\int dX \psi^2(X) E_L(X) \right)}{\|\psi\|^2} - \frac{\left(\int dX \psi^2(X) E_L(X) \right) \nabla_\theta \|\psi\|^2}{\|\psi\|^4} =: A - B$$

Continuing, we have

$$\begin{aligned} A &= \frac{\int dX (\nabla_\theta \psi^2(X)) E_L(X)}{\|\psi\|^2} + \frac{\int dX \psi^2(X) (\nabla_\theta E_L(X))}{\|\psi\|^2} \\ &= \frac{\int dX \psi^2(X) \nabla_\theta \log \psi^2(X) E_L(X)}{\|\psi\|^2} + \frac{\int dX \psi^2(X) (\nabla_\theta E_L(X))}{\|\psi\|^2} \\ &= \mathbb{E}_p[E_L \nabla_\theta \log \psi^2] + \mathbb{E}_p[\nabla_\theta E_L]. \end{aligned}$$

and

$$\begin{aligned} B &= \frac{\int dX \psi^2(X) E_L(X)}{\|\psi\|^2} \cdot \frac{\nabla_\theta \|\psi\|^2}{\|\psi\|^2} \\ &= \mathcal{L}(\theta) \cdot \frac{\int dX \nabla_\theta \psi^2(X)}{\|\psi\|^2} \\ &= \mathcal{L}(\theta) \cdot \frac{\int dX \psi^2(X) \nabla_\theta \log \psi^2(X)}{\|\psi\|^2} \\ &= \mathcal{L}(\theta) \mathbb{E}_p[\nabla \log \psi^2] \end{aligned}$$

However, $\mathbb{E}_p[\nabla_\theta E_L] = 0$:

$$\partial_i E_L = \partial_i \frac{\hat{H} \psi}{\psi} = \frac{\partial_i \hat{H} \psi \cdot \psi - \hat{H} \psi \cdot \partial_i \psi}{\psi^2}$$

since H is symmetric

$$\mathbb{E}_p[\partial_i E_L] = \frac{\langle \hat{H} \partial_i \psi, \psi \rangle - \langle \partial_i \psi, \hat{H} \psi \rangle}{\|\psi\|^2} = 0$$

Appendix 2

B EQUIVARIANT NEURAL NETWORKS AS WAVE FUNCTIONS

Here, we want to briefly discuss why equivariant neural networks as proposed by Thomas et al. (2018) or Batzner et al. (2021) are no alternative to our equivariant coordinate system. The issue is the same as for regular GNNs (Klicpera et al., 2019), namely that such networks can only represent spherically symmetric functions for atomic systems which, as discussed in Section 3.3, is insufficient for wave functions. While this is obvious for regular GNNs, as they operate only on inter-particle distances rather than vectors, equivariant neural networks take advantage of **higher SO(3) representations**. However, if one would construct the orbitals $\phi(\vec{r}) = [\phi_1(\vec{r}), \dots, \phi_N(\vec{r})]$ by concatenating E equivariant SO(3) representations $\phi(\vec{r}) = [\phi_1(\vec{r}), \dots, \phi_E(\vec{r})]$ with $\sum_{e=1}^E \dim(\phi_e(\vec{r}_i)) = N$, any resulting **real-valued wave function** $\psi(\vec{r}) = \det \phi(\vec{r})$ would be spherically symmetric, i.e., $\psi(\vec{r}R) = \psi(\vec{r}), \forall R \in SO(3)$.

The proof is as follows: If one rotates the electrons $\vec{r} \in \mathbb{R}^{N \times 3}$ by any rotation matrix $R \in SO(3)$, the orbital matrix changes as

$$\begin{aligned}\phi(\vec{r}R) &= \phi(\vec{r})D^R, \\ D^R &= \text{diag}(D_1^R, \dots, D_E^R)\end{aligned}$$

where $D^R \in \mathbb{R}^{N \times N}$ is a block-diagonal matrix and D_e^R is the Wigner-D matrix induced by rotation R corresponding to the e -th SO(3) representation. Since Wigner-D matrices are unitary and we restrict our wave function to real-valued

$$\begin{aligned}\psi(\vec{r}R) &= \det \phi(\vec{r}R) \\ &= \det(\phi(\vec{r})D^R) \\ &= \det \phi(\vec{r}) \det D^R \\ &= \det \phi(\vec{r}) \prod_{e=1}^E \det D_e^R \\ &= \det \phi(\vec{r}) \\ &= \psi(\vec{r}).\end{aligned}\tag{28}$$

□

Relation with REINFORCE

- VMC

$$E_{\theta} = \mathbb{E}_{p(r)}[E_L(r)] \qquad p(r) = \frac{\psi^2(r)}{\int \psi^2(r) dr}$$

$$\nabla_{\theta} E_{\theta} = \mathbb{E}_{p(r)}[(E_L(r) - \mathbb{E}_{p(r)}[E_L(r)]) \nabla_{\theta} \log p(r)]$$

- Policy Gradient

$$J(\theta) = \mathbb{E}_{\pi_{\theta}(a|s)}[r(s, a)]$$

$$\nabla_{\theta} J(\theta) = \mathbb{E}_{\pi_{\theta}(a|s)}[r(s, a) \nabla_{\theta} \log \pi_{\theta}(a|s)]$$

$$\nabla_{\theta} J(\theta) = \mathbb{E}_{\pi_{\theta}(a|s)}[(r(s, a) - b(s)) \nabla_{\theta} \log \pi_{\theta}(a|s)]$$

Relation with EBM

- VMC

$$E_{\theta} = \mathbb{E}_{p(r)}[E_L(r)] \qquad p(r) = \frac{\psi^2(r)}{\int \psi^2(r) dr}$$

$$\nabla_{\theta} E_{\theta} = \mathbb{E}_{p(r)}[(E_L(r) - \mathbb{E}_{p(r)}[E_L(r)]) \nabla_{\theta} \log p(r)]$$

- EBM

$$p(r) = \frac{\exp(-f_{\theta}(r))}{Z(\theta)} \quad Z(\theta) = \int \psi^2(r) dr, \quad \log \psi(r) = -\frac{f_{\theta}(r)}{2}$$

$$\nabla_{\theta} E_{\theta} = \mathbb{E}_{p_{\theta}(x)} [(f_{\theta}(x) - \mathbb{E}_{p_{\theta}(x)}[f_{\theta}(x)]) \nabla_{\theta} \log p_{\theta}(x)].$$